

Table of Contents

Abstract	i
Acknowledgments	i
1 Introduction	1-1
1.0 Summary.....	1-1
1.1 Purpose and Organization of this Manual.....	1-1
1.2 Summary of Recent Changes.....	1-2
1.2.1 California's Energy Crisis and Assembly Bill 970.....	1-3
1.2.2 Assembly Bill 970 Changes.....	1-4
1.3 Background	1-5
1.3.1 Legal Requirements – The Warren Alquist Act.....	1-5
1.3.2 Benefits of the Standards	1-6
1.3.3 History of the Standards	1-7
1.4 Introduction to the Standards.....	1-8
1.4.1 Organization of the Standards.....	1-10
1.4.2 Which Standards Apply? Nonresidential vs. Residential	1-10
1.4.3 California Climate Zones	1-11
1.4.4 Nonresidential Compliance Approaches	1-13
1.4.5 Performance Approach	1-13
2 Scope and Application	2-1
2.0 Summary.....	2-1
2.1 Introduction (§100(a)1, 2, 3)	2-1
2.1.1 Nonresidential Standards	2-1
2.1.2 Residential Standards	2-3
2.2 Basic Scope and Application Concepts	2-3
2.2.1 Conditioned Space Definitions	2-3
2.2.2 Application Scenarios	2-8
3 Building Envelope.....	3-1
3.0 Summary.....	3-1
3.1 Introduction	3-1
3.1.1 Envelope Compliance Approaches	3-1
3.1.2 Basic Envelope Concepts	3-2
3.2 Envelope Design Procedures	3-29
3.2.1 Mandatory Measures.....	3-29
3.2.2 Prescriptive Envelope Component Approach (§143(a)).....	3-30
3.2.3 Prescriptive Overall Envelope Approach (§143(b)).....	3-37
3.2.4 Performance Approach	3-51
3.2.5 Alterations.....	3-52
3.3 Envelope Plan Check Documents	3-54
3.3.1 ENV-1: Certificate of Compliance.....	3-55
3.3.2 ENV-2: Envelope Component Method	3-61
3.3.3 ENV-2: Overall Envelope Method	3-63
3.3.4 ENV-3: Proposed Metal Framed Assembly	3-68
3.3.5 ENV-3: Proposed Masonry Wall Assembly	3-70
3.3.6 ENV-3: Proposed Wood Frame Assembly	3-71
3.4 Envelope Inspection.....	3-73
4 Mechanical Systems.....	4-1
4.0 Summary.....	4-1
4.1 Introduction	4-1
4.1.1 Mechanical Compliance Approaches	4-2
4.1.2 Basic Mechanical Concepts	4-2
4.2 Mechanical Design Procedures	4-12
4.2.1 Mandatory Measures.....	4-12

4.2.2	Prescriptive Approach.....	4-42
4.2.3	Performance Approach.....	4-59
4.2.4	Alterations/Additions	4-60
4.2.5	Application to Major System Types	4-60
4.3	Mechanical Plan Check Documents.....	4-76
4.3.1	MECH-1: Certificate of Compliance.....	4-76
4.3.2	MECH-2: Mechanical Equipment Summary	4-86
4.3.3	MECH-3: Mechanical Ventilation	4-89
4.3.4	MECH-4: Mechanical	4-91
4.3.5	MECH-5: Mechanical Distribution Summary (Performance Use Only).....	4-94
4.4	Mechanical Inspection.....	4-96
5	Lighting Systems	5-1
5.0	Summary	5-1
5.1	Lighting Compliance	5-2
5.1.1	Basic Lighting Concepts and Definitions	5-3
5.1.2	Lighting Trade-offs	5-9
5.2	Lighting Design Procedures	5-10
5.2.1	Mandatory Measures	5-11
5.2.2	Prescriptive Approach.....	5-29
5.2.3	Performance Approach	5-50
5.2.4	Actual Lighting Power (Adjusted).....	5-50
5.2.5	Automatic Lighting Control Credits (§146(a)4)	5-56
5.2.6	Alterations	5-56
5.3	Lighting Plan Check Documents	5-60
5.3.1	LTG-1: Certificate of Compliance.....	5-60
5.3.2	LTG-4: Tailored LPD Summary and Worksheet.....	5-70
5.3.3	LTG-5: Room Cavity Ratio Worksheet (>3.5).....	5-75
5.4	Lighting Inspection.....	5-77
6	Special Topics	6-1
6.0	Summary	6-1
6.1	Performance Approach.....	6-1
6.1.1	Summary.....	6-1
6.1.2	Performance Concepts	6-1
6.1.3	Analysis Procedures	6-8
6.1.4	Performance Plan Check Documents.....	6-10
6.1.5	Performance Inspection	6-13
6.2	Hotels and Motels.....	6-14
6.2.1	Introduction	6-14
6.2.2	Hotel/Motel Compliance Approaches	6-14
6.2.3	Basic Hotel/Motel Concepts.....	6-14
6.2.4	Hotel/Motel Compliance.....	6-14
6.2.5	Hotel/Motel Plan Check Documents	6-16
6.2.6	Hotel/Motel Inspection	6-16
6.3	High-rise Residential	6-16
6.3.1	Introduction	6-16
6.3.2	High-rise Residential Compliance Approaches	6-16
6.3.3	Basic High-rise Residential Concepts.....	6-17
6.3.4	High-rise Residential Compliance.....	6-17
6.3.5	High-rise Residential Plan Check Documents	6-18
6.3.6	High-rise Residential Inspection	6-19
7	Index	1

List of Figures

Figure 1-1: Organization of Chapters 3, 4 and 5.....	1-2
Figure 1-2—Nonresidential Standards Flowchart.....	1-9
Figure 1-3—California Climate Zones.....	1-12
Figure 2-1— Type of Conditioned Space and Scope of Compliance	2-9
Figure 3-1— Requirements for Floor/Soffit Surfaces.....	3-4
Figure 3-2— Requirements for Roof/Ceiling Surfaces	3-4
Figure 3-3— Surface Orientations	3-5
Figure 3-4— Fenestration Definitions	3-5
Figure 3-5— Skylight Area	3-6
Figure 3-6— Slope of a Wall or Window (Roof or Skylight slope is less than 60°).....	3-7
Figure 3-7 – Sample Default Temporary Label	3-23
Figure 3-8 – CEC Default U-Factor and SHGC Label Certificate	3-25
Figure 3-9 – CEC Alternate Default U-Factor and SHGC Label Certificate	3-26
Figure 3-10— Overhang Dimensions	3-27
Figure 3-11— Graph of Overhang Factors	3-28
Figure 3-12— Roof/Ceiling Flowchart.....	3-32
Figure 3-13— Wall Flowchart.....	3-33
Figure 3-14— Floor/Soffit Flowchart	3-34
Figure 4-1— Typical Building Energy Use	4-1
Figure 4-2— Integrated Air Economizer	4-7
Figure 4-3— Non-integrated Air Economizer.....	4-8
Figure 4-4— “Strainer Cycle” Water Economizer	4-9
Figure 4-5— Water-Precooling Water Economizer with Three-Way Valves	4-9
Figure 4-6— Water-Precooling Water Economizer with Two-Way Valves	4-9
Figure 4-7— Air-Precooling Water Economizer.....	4-10
Figure 4-8— Heat Pump Auxiliary Heat Control Using Two-Stage and Outdoor Air Thermostats.....	4-15
Figure 4-9— Pre-Occupancy Purge Flowchart.....	4-25
Figure 4-10— Proportional Control Zone Thermostat	4-29
Figure 4-11— Shut-Off and Setback Controls Flowchart	4-32
Figure 4-12— Isolation Methods for a Central VAV System.....	4-34
Figure 4-13— Service Water Heating Flowchart	4-41
Figure 4-14— Fan Power Consumption Flowchart.....	4-46
Figure 4-15— VAV Fan Performance Curve	4-48
Figure 4-16— Air-Side Economizer Schematic	4-51
Figure 4-17— Typical Air-Side Economizer Control Sequencing	4-51
Figure 4-18— Economizer Flowchart	4-52
Figure 4-19— Single-Fan Dual-Duct System.....	4-54
Figure 4-20— Supply Air Reset Controls Flowchart	4-57
Figure 5-1— Lighting Energy Use.....	5-1
Figure 5-2— Lighting Compliance Flowchart.....	5-3
Figure 5-3— Bi-Level Switching.....	5-14
Figure 5-4— Combined Bi-level and Daylit Area Switching.....	5-16
Figure 5-5— Window Daylit Area.....	5-18
Figure 5-6— Skylight Daylit Area	5-19
Figure 5-7—Efficiency of Well Graph.....	5-19
Figure 5-8— Timed Manual Override	5-21
Figure 5-9— Occupant-sensing Device Shut-off	5-21
Figure 5-10— Tandem Wiring.....	5-23
Figure 5-11— Residential and Hotel/Motel Guestroom (Kitchen Lighting Examples)	5-26
Figure 5-12— Residential and Hotel/Motel Guestroom (Bathroom Lighting Examples)	5-27
Figure 5-13— Complete Building Method Flowchart	5-31
Figure 5-14— Area Category Method Flowchart	5-32
Figure 5-15— Calculating Lighting Area	5-32
Figure 5-16— Chandelier Dimensions.....	5-35

Figure 5-17– Tailored Method Flowchart.....	5-36
Figure 5-18– Throw Distances and Mounting Heights.....	5-41
Figure 5-19– Calculating the Task Area	5-42
Figure 5-20– Gross Sales Wall Area	5-44
Figure 5-21– RCR for Stack Lighting (see Example 5-14)	5-45
Figure 5-22– Lighting Power Density Calculation Flowchart. Complete Building Method and Area Category Method (1)	5-46
Figure 6-1– Annual Source Energy Use Summary (Sample of PERF-1, Part 2 of 3)	6-3

List of Tables

Table 1-1 Organization of the Standards	1-10
Table 1-2 Nonresidential vs. Residential Standards	1-11
Table 2-1 Applications of Standards	2-2
Table 3-1 - Standard Air Film R-values	3-8
Table 3-2 - Wood Framed Assembly U-factors (excerpt from Table B-2, Appendix B)	3-9
Table 3-1 - Wood Framing Percentage	3-9
Table 3-2 - Metal Framed Assembly U-factors (excerpt from Table B-2)	3-10
Table 3-3 - Metal Framing Factors	3-11
Table 3-4 - Properties of Hollow Unit Masonry Walls (excerpt from Table B-4)	3-12
Table 3-5 Properties of Solid Unit Masonry and Solid Concrete Walls (excerpt from Table B-5)	3-12
Table 3-6 - Effective R-Values for Interior Insulation Layers on Structural Mass Walls (excerpt from Table B-6)	3-13
Table 3-7 - Thermal Mass Properties	3-13
Table 3-8 - Cool Roof Performance Criteria	3-14
Table 3-9 -Acceptable Methods for Determining U-factors	3-15
Table 3-10 - Default Fenestration Product U-factors	3-17
Table 3-11 - Methods for Determining SHGC	3-18
Table 3-12 - Default Solar Heat Gain Coefficients	3-19
Table 3-13 -Overhang Factors	3-28
Table 3-14 - Maximum Air Infiltration Rates	3-29
Table 3-15 - Certified Insulating Materials	3-30
Table 3-16 - Default Light Fixture Percentages for General Commercial and Industrial Buildings	3-32
Table 3-17 - Roof/Ceiling Requirements	3-32
Table 3-18 - Wall Requirements	3-33
Table 3-19 - Floor/Soffit Requirements	3-34
Table 3-20 - Window Requirements	3-35
Table 3-21 - Skylight Requirements	3-36
Table 3-22 - Nonresidential Requirements (except High-Rise Residential and Hotel/Motel Guest Room)	3-38
Table 3-23 - High-Rise Residential and Hotel/Motel Guest Room Requirements	3-39
Table 3-24 - Temperature and Solar Factors	3-46
Table 3-25- Glazing Orientation and Roof Weighting Factors	3-47
Table 4-1A - Minimum Ventilation Rates	4-17
Table 4-1B - UBC 1997 Occupant Densities (ft ² /person)	4-18
Table 4-2 - Required Minimum Ventilation Rate Per Occupancy	4-19
Table 4-3 - Pipe Insulation Thickness	4-36
Table 4-4 - Duct Insulation Requirements	4-39
Table 4-5 - [Table 1-X1 of the Standard] – Economizer Tradeoff Table for Electrically Operated Unitary Air Conditioners	4-53
Table 4-6 - [Table 1-X2 of the Standard] – Economizer Tradeoff Table for Electrically Operated Heat Pumps	4-54
Table 4-7- [Table 1-X3 of the Standard] – Air Economizer High Limit Shut Off Control Requirements	4-55
Table 5-1 - Effective Aperture Matrix	5-17
Table 5-3 - Complete Building Method Lighting Power Density Values	5-30
Table 5-4 - Area Category Method LPD Values	5-33
Table 5-5 - Illuminance Categories for Tasks	5-37
Table 5-6 - Typical RCRs for Flush/Recessed Luminaires (Task height 2.5 ft above floor)	5-39
Table 5-7- Illuminance Categories A – E	5-40
Table 5-8 - Illuminance Categories F - I	5-40
Table 5-9 - Mounting Height Adjustments	5-42
Table 5-10 - Power Savings Adjustments for Lighting Controls	5-59

List of Examples

Example 2-2 - Research Greenhouse	2-2
Example 2-1– Direct Heating	2-4
Example 2-2– Direct Heating	2-4
Example 2-3– Direct Cooling	2-4
Example 2-4– Indirectly Conditioned Space (by conduction)	2-5
Example 2-5– High-Rise Residential	2-7
Example 2-6– New Window	2-11
Example 2-7– New Lighting Fixture	2-11
Example 2-8– New Interior Partitions	2-12
Example 2-9– Altered Duct Work.....	2-12
Example 2-10– Chiller Replacement	2-12
Example 2-11– Adding a Mezzanine	2-12
Example 2-12– Energy Inefficient Addition.....	2-13
Example 2-13– Minor Occupancy.....	2-14
Example 3-1– NFRC Testing of Site-Built Fenestration	3-21
Example 3-2– NFRC Testing of Site-Built Fenestration	3-24
Example 3-3– RSHG Calculation.....	3-28
Example 3-4– Area Calculation	3-42
Example 3-5– Glazing Area Adjustments	3-42
Example 3-6– RSHG Determination	3-46
Example 3-7– Determining Weighting Factors	3-47
Example 3-8– Determining Roof Absorptivity Value.....	3-49
Example 3-9 – Overall Envelope Approach.....	3-49
Example 3-10– Existing-plus-Additions Approach.....	3-53
Example 3-11– NFRC Label Certificate Scenarios.....	3-53
Example 3-13– Sample Notes Block - Envelope Mandatory Measures	3-58
Example 4-1– Efficiency Compliance	4-13
Example 4-2– Efficiency Compliance	4-13
Example 4-3– Efficiency Compliance	4-13
Example 4-4– Efficiency Compliance	4-14
Example 4-5– Efficiency Compliance	4-14
Example 4-6– Natural Ventilation	4-16
Example 4-7– Natural Ventilation	4-16
Example 4-8– Ventilation for a Two-room Building.....	4-21
Example 4-9– Minimum VAV CFM	4-24
Example 4-10– Purge Period.....	4-25
Example 4-11– Purge with Natural Ventilation	4-25
Example 4-12– Purge with Occupancy Timer	4-25
Example 4-13– Demand Controlled Ventilation.....	4-26
Example 4-14– Demand Controlled Ventilation.....	4-26
Example 4-15– Maintenance of Ventilation System	4-28
Example 4-16– Direct Digital Control of Space Temperature.....	4-29
Example 4-17– Perimeter Systems Thermostats	4-30
Example 4-18– Office Occupancy Sensor.....	4-31
Example 4-19– Automatic Time Switches with Multiple Systems	4-31
Example 4-20– Thermostat with Sensors	4-31
Example 4-21– Time Control for Fan Coils.....	4-33
Example 4-22– Isolation Zones	4-34
Example 4-23– Isolation Zone Purge.....	4-35
Example 4-24– Pipe Insulation Thickness.....	4-36
Example 4-25– Duct Sealing	4-39
Example 4-26– Equipment Sizing.....	4-43
Example 4-27 –Fan Power Limits	4-46
Example 4-28– 25 HP Limit	4-47
Example 4-29– Filtration	4-47

Example 4-30– VAV Bypass System	4-48
Example 4-31– Calculation of Fan Power	4-49
Example 4-32– Minimum VAV CFM.....	4-49
Example 4-33– Water-Side Economizer Tower Sizing	4-56
Example 4-34– Strainer-Cycle Water Side Economizer	4-56
Example 4-35– Economizer Trade-Off Method.....	4-56
Example 4-36– Heat Pump Sizing	4-58
Example 4-37– Heat Rejection System Controls	4-58
Example 4-38– Series Fan-Powered Box	4-63
Example 4-39– Parallel Fan-Powered Box	4-63
Example 4-40– Dual-Fan Dual-Duct Fan Power	4-69
Example 4-41– Sample Notes - Mechanical Mandatory Measures	4-80
Example 4-42– Sample Notes (cont'd).....	4-81
Example 5-1– Lighting Trade-Offs, General Lighting	5-9
Example 5-2– Lighting Trade-offs: Display Lighting Part 1	5-10
Example 5-3– Lighting Trade-offs: Display Lighting Part 2.....	5-10
Example 5-4– Shut-off Control Override	5-13
Example 5-5– Manual Switches and Automatic Controls	5-13
Example 5-6– Effective Aperture Matrix.....	5-17
Example 5-7– Skylight/Daylit Area	5-17
Example 5-8– Skylight Effective Aperture	5-19
Example 5-9– Energy-efficient Kitchen Lighting, General.....	5-23
Example 5-10– Complete Building Method	5-30
Example 5-11– Area Category Method	5-34
Example 5-12– Area Category Method	5-34
Example 5-13– Chandelier Wattage Allowance	5-35
Example 5-14– Office Task Duration	5-38
Example 5-15– RCR Calculation.....	5-39
Example 5-16– Private Office.....	5-42
Example 5-17– Allowed Lighting Power, Tailored Method.....	5-43
Example 5-18– Stack Lighting RCR.....	5-44
Example 5-19– Simplified Lighting Flowchart, New Building	5-47
Example 5-20– Simplified Lighting Flowchart, Alteration	5-48
Example 5-21– Simplified Lighting Flowchart, Retail/Grocery Combination	5-49
Example 5-22– Portable Lighting, Area less than 250 ft ²	5-53
Example 5-23– Portable Lighting, Complete Building Approach	5-53
Example 5-24– Portable Lighting, Complete Building Approach	5-53
Example 5-25– Portable Lighting, Area less than 250 ft ²	5-53
Example 5-26– Portable Lighting, non-Office Primary Function Areas.....	5-54
Example 5-27– Track Lighting Power	5-55
Example 5-28–Lighting Alterations, Allowed Lighting Power	5-57
Example 5-29– Lighting Alterations, Permitted Space	5-58
Example 5-30– Lighting Alterations, Allowed Lighting Power	5-58
Example 5-31– Sample Notes: Lighting Mandatory Measures	5-64
Example 6-1– Performance Trade-offs	6-3
Example 6-2 - Sample Notes: For Hotel and Motel Mandatory Measures.....	6-15
Example 6-3 – Sample Notes: High-Rise Residential Mandatory Measures.....	6-18

List of Equations

Equation 3-1– Relative Solar Heat Gain	3-27
Equation 3-2– Standard Building Heat Loss	3-39
Equation 3-3– Proposed Building Heat Loss	3-43
Equation 3-4– Standard Building Heat Gain	3-44
Equation 3-5– Proposed Building Heat Gain	3-48
Equation 3-6– Energy Use Goal	3-53
Equation 4-1 - Efficiency	4-3
Equation 4-2 - Coefficient of Performance Cooling	4-3
Equation 4-3 - Coefficient of Performance Heating	4-3
Equation 4-4 - Combustion Efficiency	4-3
Equation 4-5 - EER	4-4
Equation 4-6 - COP	4-4
Equation 4-7- Thermal Efficiency	4-4
Equation 4-8–Insulation Thickness	4-36